

P19945.A04

5000
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jae Yeon AHN

PCT

Serial No : 09/622,904

Office of Initial Patent Examination

Filed : September 5, 2000

For : THEFT PREVENTION DEVICE FOR INFORMATION-STORED DISK

REQUEST FOR WITHDRAWAL OF THE HOLDING OF ABANDONMENTCommissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to the Notice of Abandonment issued on September 12, 2001, for failure to file an oath or declaration complying with 37 C.F.R. § 1.497(a) and (b) and a surcharge for providing the oath or declaration as required in the NOTIFICATION OF MISSING REQUIREMENTS dated October 18, 2000 (Applicant notes that the Notice of Abandonment erroneously states that the NOTIFICATION OF MISSING REQUIREMENTS was mailed on September 20, 2000), Applicant respectfully points out that the declaration and surcharge were filed on October 23, 2000.

Applicant encloses herewith copies of the Cover Letter, the executed declaration, the NOTIFICATION OF MISSING REQUIREMENTS, and the check as payment of the surcharge for late filing of the declaration, accompanied by the date-stamped mailroom receipt evidencing the filing of these documents on October 23, 2000. Based on the timely filing of the declaration and surcharge, the Holding of Abandonment is improper and should

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be withdrawn.

In view of the foregoing, it is respectfully requested that the USPTO withdraw the Holding of Abandonment and consider the declaration as filed on October 23, 2000. If there are any questions, please contact the undersigned at the below listed telephone number.

Respectfully submitted,
Jae Yeon AHN

Will. H. Lyddell Reg. No. 41,568
Bruce H. Bernstein
Reg. No. 29,027

September 20, 2001
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

GREENBLUM & BERNSTEIN, P.L.C.
Intellectual Property Causes
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

COPY

10/20/2000

File In: PCT

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

- | | |
|--|---|
| <input type="checkbox"/> Amendment _____ | <input type="checkbox"/> Claim of Priority & Certified Copy of _____ |
| <input type="checkbox"/> Executed Assignment and cover letter <input type="checkbox"/> by facsimile | |
| <input checked="" type="checkbox"/> Executed S.E.S. <input type="checkbox"/> by facsimile | <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Supplemental |
| <input type="checkbox"/> Req. for Ext. of Time | <input checked="" type="checkbox"/> New <input type="checkbox"/> Unexecuted |
| <input checked="" type="checkbox"/> Fee Filing <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Executed by facsimile |
| Recording <input type="checkbox"/> | |
| Issue <input type="checkbox"/> | <input checked="" type="checkbox"/> Transmittal Letter |
| Extension <input type="checkbox"/> | <input type="checkbox"/> Patent Application |
| <input type="checkbox"/> Fee Transmittal | <input type="checkbox"/> Provisional <input type="checkbox"/> Reexam |
| <input type="checkbox"/> Maintenance Fee Payment | <input type="checkbox"/> Reissue <input type="checkbox"/> Design |
| <input type="checkbox"/> PTOL-85B Issue Fee | <input type="checkbox"/> Continuation <input type="checkbox"/> CIP |
| <input type="checkbox"/> Letter to Draftsman (in trip.) | _____ pages (w/abstract) |
| <input type="checkbox"/> Design Patent Application Transmittal | _____ claims _____ independent |
| <input type="checkbox"/> Utility Patent Application Transmittal | _____ sheets of drawings |
| <input type="checkbox"/> Provisional Application Cover Sheet | _____ figures |
| <input type="checkbox"/> Continued Prosecution Application | <input type="checkbox"/> I.D.S. form PTO-1449 & |
| (CPA) Request Transmittal | References <input type="checkbox"/> as attached |
| <input type="checkbox"/> Request for Continued Examination (RCE) | <input type="checkbox"/> as listed on reverse |
| including submission | <input type="checkbox"/> One self-addressed postcard |
| <input checked="" type="checkbox"/> Cover Letter | <input type="checkbox"/> Certification under 1.97(e) |
| <input checked="" type="checkbox"/> Check No. 34778 for \$ 65.00 | <input type="checkbox"/> Certificate of Mailing (C-O-M) |
| <input type="checkbox"/> Rule 53b and 53f Letter for | <input type="checkbox"/> Returned Envelope |
| Unexecuted Application | |

(X) Copy of Form PCT/DO/EO/905 (NFMK)

In the matter of : THEFT PREVENTION DEVICE FOR INFORMATION-STORE DISK

60 Rec'd PCT/PTO 23 OCT 2000

Applicant : Jae-Yeon AHN

Application No. 09/622,904

Filed : 9/05/2000

Patent No. :

Issued :

Docket P19945

BHB

34778

GREENBLUM & BERNSTEIN, P.L.C.

OUR REFERENCE NUMBER	YOUR INVOICE NUMBER	INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT	NET AMOUNT
Oath or declaration after twenty or thirty months from priority date (254)						
File # : P19945						
COPY						

GREENBLUM & BERNSTEIN, P.L.C.

PTO ACCOUNT
1941 ROLAND CLARKE PLACE
RESTON, VA 20191
(703) 716-1191

NATIONSBANK
OF VIRGINIA N.A.
68-1-510

34778

DATE	CONTROL NO.	AMOUNT
October 20, 2000		\$ 65.00

PAY Sixty Five and 00/100 Dollars
TO THE COMMISSIONER OF PATENTS AND TRADEMARKS
ORDER OF

VOID AFTER 120 DAYS

⑈034778⑈ ⑈051000017⑈ 000026912101⑈

P19945.P04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jae Yeon AHN

Serial No : 09/622,904
(National Stage of PCT/KR99/00100)

Application Division

I.A. Filed : March 4, 1999

For : THEFT PREVENTION DEVICE FOR INFORMATION-STORED DISK

COVER LETTER

Commissioner of Patents and Trademarks
Washington, D.C. 20231

COPY

Sir:

In order to comply with the requirements for the submission of an executed declaration of the International Application set forth in the Notification mailed October 18, 2000 which sets a one month period of response until November 20, 2000 (being that November 18, 2000 falls on a Saturday), Applicants hereby submit:

- Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371

- an executed Declaration and Power of Attorney.

- an executed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9 (f) and 1.27 (b)).

- a check in the amount of \$65.00 as payment of the surcharge for late filing of the declaration.

The Commissioner is hereby authorized to charge any additional fee, or credit any overpayment to Deposit Account No. 19-0089.

Respectfully submitted,
Jae Yeon AHN

Bruce H. Bernstein
Reg. No. 29,027

October 20, 2000
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Declaration and Power of Attorney For Utility or Design Patent Application
English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

THEFT PREVENTION DEVICE FOR INFORMATION-STORED DISK

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as
United States Application Number _____
And was amended on _____ (if applicable) or,
PCT International Application Number _____
and was amended on _____ (if applicable)

COPY

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a-d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below. I have also identified below, by checking the "No" box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

Priority Claimed

<u>1998-7341</u>	<u>Republic of Korea</u>	<u>05 / 03 / 1998</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
<u>1998-26475</u>	<u>Republic of Korea</u>	<u>01 / 07 / 1998</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
<u> </u>	<u> </u>	<u> </u>	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

<u> </u>	<u> </u>
(Number)	(Day/Month/Year Filed)
<u> </u>	<u> </u>
(Number)	(Day/Month/Year Filed)
<u> </u>	<u> </u>
(Number)	(Day/Month/Year Filed)

☐ Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

<u>PCT/KR99/00100</u>	<u>04/03/1999</u>	<u>pending</u>
(Application No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
<u></u>	<u></u>	<u></u>
(Application No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

Additional U.S. or international application numbers are listed on a supplemental priority sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from either his foreign patent agent or corporate representative, if any, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the attorney(s) and/or agent(s) associated with the Customer Number provided below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number:

CUSTOMER NUMBER 7055

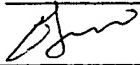
The appointed attorneys include:

Neil F. Greenblum	Reg. No. 28,394	Arnold Turk	Reg. No. 33,094
Bruce H. Bernstein	Reg. No. 29,027	James L. Rowland	Reg. No. 32,674

At: Greenblum & Bernstein, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191

Direct Telephone Calls to: Greenblum & Bernstein, P.L.C. (703) 716-1191

Full name of sole or first inventor AHN, JAE-YEON

Inventor's signature 

Date 18. SEP. 2000

Residence SEOUL, KOREA

Citizenship REPUBLIC OF KOREA

Post Office Address 475-12, Bangbae2-Dong, Seocho-Ku, Seoul-shi 137-062, Republic of Korea

(Supply similar information and signature for second and subsequent joint inventors.)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/622,904	AHN	J P19945

BRUCE H. BERNSTEIN
GREENBLUM & BERNSTEIN
1941 ROLAND CLARKE PLACE
RESTON VA 20191

5071

INTERNATIONAL APPLICATION NO.

PCT/KR99/00100

FILED DATE PRIORITY DATE

03/04/99 03/05/98
10/18/00

DATE MAILED

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☒ a non-English language.
☐ English.

☒ Translation of the international application into English.

☒ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☐ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 05 September 00 and _____

☐ Information Disclosure Statement(s) filed _____ and _____

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☐ and copies of the references cited therein.

☐ Other:

RECEIVED

OCT 20 2000

GREENBLUM & BERNSTEIN, P.L.C.

COPY

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

- ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Telephone: (703) 305-3744